

07-30-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. NAI1P020/01.139.01

First Named Inventor:

Chris A. Barton et al.

11000 U.S. PTO  
09/916600  
07/26/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Chris A. Barton, James M. Vignoles, and James W. Lawrence

For: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR ANTI-VIRUS SCANNING IN  
A STORAGE SUBSYSTEM

Application Elements:

- ☒ 20 Pages of Specification, Claims and Abstract  
☒ 05 Sheets of Drawings  
☒ 02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Small Entity Statement(s)  
☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	<u>SMALL ENTITY</u>		<b>OR</b>	<u>LARGE ENTITY</u>	
	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>		<u>RATE</u>	<u>FEE</u>
BASIC FEE			\$355	\$	OR	\$710	\$ 710
TOTAL CLAIMS	39	-20 = 19	x09 =	\$	OR	x18 =	\$ 342
INDEP CLAIMS	06	-03 = 03	x40 =	\$	OR	x80 =	\$ 240
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$ 00
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$ 1,292.00</b>

☒ Check No. 459 in the amount of \$1,332.00 is enclosed.

☐ No check enclosed, please charge Deposit Account No. 50-1351

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-1351 (Order No. NAI1P020/01.139.01).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-1351 (Order No. NAI1P020/01.139.01).

☒ Please send correspondence to the following address:

Silicon Valley IP Group  
P.O. Box 721120  
San Jose, CA 95172-1120

Tel (408) 971-2573

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**28875**  
PATENT TRADEMARK OFFICE

Date: 6/26/01

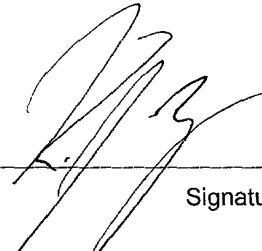
  
\_\_\_\_\_  
Kevin J. Zilka  
Registration No. 41,429

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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	C. Barton et al.
	Title	SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR ANTI-VIRUS SCANNING IN A STORAGE SUBSYSTEM
	Atty Docket Number	NAI1P020/01.139.01

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

67/26/61  
Date

  
Signature  
Kevin J. Zilka, Reg. No. 41,429  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**